

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: William J. Kerns, Brian Brogger, and Justin L. EsterbergApplication No./Patent No.: 10/023,472 Filed/Issue Date: December 17, 2001Entitled: IDENTIFICATION PARTICLES AND SYSTEM AND METHOD FOR RETROSPECTIVE IDENTIFICATION USING SPECTRAL CODESMicrotrace, LLC, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: William J. Kerns To: Microtrace, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 012841, Frame 0476, or for which a copy thereof is attached.2. From: Brian Brogger To: Microtrace, Inc.The document was recorded in the United States Patent and Trademark Office at  
Reel 012841, Frame 0485, or for which a copy thereof is attached.3. From: Microtrace, Inc. To: Microtrace, LLCThe document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.☒ Additional documents in the chain of title are listed on a supplemental sheet.☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature

William J. Kerns

Printed or Typed Name

President

Title

Oct 25, 2004

Date

763-784-9725

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



STATEMENT UNDER 37 CFR 3.73(b) - continued

Applicant/Patent Owner: William J. Kerns, Brian Brogger, and Justin L. Esterberg

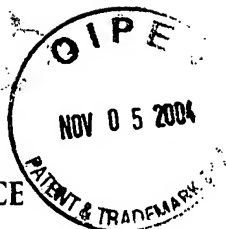
Application No./Patent No.: 10/023,472 Filed/Issue Date: December 17, 2001

Entitled: IDENTIFICATION PARTICLES AND SYSTEM AND METHOD FOR  
RETROSPECTIVE IDENTIFICATION USING SPECTRAL CODES

4. From: Justin L. Esterberg To: Microtrace, LLC  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE



1706

JULY 02, 2002

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Arlington, VA 22202-3513  
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BECK & TYSVER, P.L.L.C.  
STEPHANIE J. JAMES  
2900 THOMAS AVENUE SOUTH  
SUITE 100  
MINNEAPOLIS, MN 55416-4477



\*102077491A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
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RECORDATION DATE: 04/22/2002

REEL/FRAME: 012841/0476  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

KERNS, WILLIAMS J.

DOC DATE: 03/26/2002

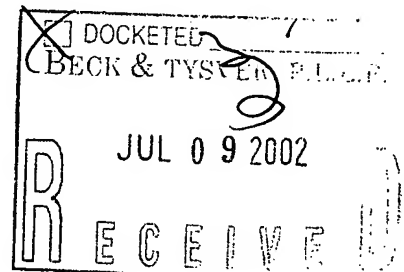
ASSIGNEE:

MICROTRACS, INC.  
3100 - 84TH LANE NE, SUITE A  
MINNEAPOLIS, MINNESOTA 55449

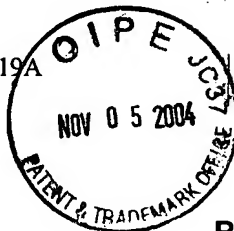
SERIAL NUMBER: 09283174  
PATENT NUMBER: 6309690

FILING DATE: 04/01/1999  
ISSUE DATE: 10/30/2001

JEFFREY OLSEN, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027



05-03-2002



102077491

U.S. Department of Commerce  
Office of Patent and Trademark  
**PATENT**

2002 APR 22 AM 9:06

FINANCE SECTION

**RECORDATION FORM COVER SHEET  
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TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

**Submission Type**

☒

New

4-22-02

☐

Resubmission (Non-Recordation)

Document ID#

☐

Correction of PTO Error

Reel #

Frame #

☐

Corrective Document

Reel #

Frame #

**Conveyance Type**

☒

Assignment

☐

Security Agreement

☐

License

☐

Change of Name

☐

Merger

☐

Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

☐

Departmental File

☐

Secret File

**Conveying Party(ies)**

☐

Mark if additional names of conveying parties attached

Name (line 1)

Kerns, William J.

Execution Date  
Month Day Year

03262002

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

☐

Mark if additional names of receiving parties attached

Name (line 1)

Microtrace, Inc.

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1)

3100 - 84th Lane NE, Suite A

Address (line 2)

Address (line 3)

Minneapolis

MN/USA

55449

City

State/Country

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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05/02/2002 DBYRNE 00000202 6309690

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Mail documents to be recorded with required cover sheet(s) information to:  
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**Correspondent Name and Address**

Area Code and Telephone Number

Name

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Address (line 2)

Address (line 3)

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**Pages**

Enter the total number of pages of the attached conveyance document including any attachments. #

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

**Patent Number(s)**

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<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month  Day  Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>
PCT <input type="text"/>	PCT <input type="text"/>	PCT <input type="text"/>

**Number of Properties**

Enter the total number of properties involved. #

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

Method of Payment:  
Deposit Account

Enclosed ☒ Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

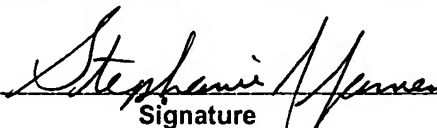
Yes ☒ No ☐

**Statement and Signature**

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.*

Stephanie J. James

Name of Person Signing

  
Signature

4/15/02  
Date

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# ASSIGNMENT OF APPLICATION

Docket Number (Optional)

1706

Whereas, I, William J. Kerns of 2229 Rainbow Avenue, hereafter referred to as applicant, have invented certain new and useful improvements in System for Retrospective Identification and Method of Marking Articles for Retrospective Identification

☒ for which an application for a United States Patent was filed on April 1, 1999, Application Number 09 / 283,174.

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Microtrace, Inc. of Minneapolis, MN herein referred to "assignee" whose mailing address is 3100 - 84th Lane NE, Minneapolis, MN 55449-7216 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 26th day of March, 2002, at 3100 84th Lane NE., Minneapolis MN 55449.

William J. Kerns  
(Signature)

State of \_\_\_\_\_) SS:

County of \_\_\_\_\_)

Before me personally appeared said William J. Kerns

and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_

day of \_\_\_\_\_, 20\_\_\_\_.

Seal

(Notary Public)



UNITED STATES  
PATENT AND  
TRADEMARK OFFICE

JULY 02, 2002

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Commissioner for Trademarks  
Arlington, VA 22202-3513  
www.uspto.gov

BECK & TYSVER, P.L.L.C.  
STEPHANIE J. JAMES  
2900 THOMAS AVENUE SOUTH  
SUITE 100  
MINNEAPOLIS, MN 55416-4477



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RECORDATION DATE: 04/22/2002 ✓

REEL/FRAME: 012841/0485  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
BROGGER, BRIAN

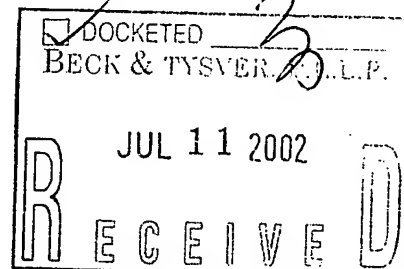
DOC DATE: 03/26/2002

ASSIGNEE:  
MICROTRACE, INC.  
3100 - 84TH LANE NE, SUITE A  
MINNEAPOLIS, MINNESOTA 55449

SERIAL NUMBER: 09283174  
PATENT NUMBER: 6309690

FILING DATE: 04/01/1999  
ISSUE DATE: 10/30/2001

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
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FORM PTO-1619A  
Expires 06/30/99  
OMB 0651-0027

05-03-2002



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U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

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FINANCE SECTION

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**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

☐ Correction of PTO Error

Reel #

Frame #

☐ Corrective Document

Reel #

Frame #

**Conveyance Type**

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

**U.S. Government**

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☐ Departmental File

☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1) Brogger, Brian

Execution Date  
Month Day Year

03262002

Name (line 2)

**Second Party**

Name (line 1)

Execution Date  
Month Day Year

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) Microtrace, Inc.

Name (line 2)

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment)

Address (line 1) 3100 - 84th Lane NE, Suite A

Address (line 2)

Address (line 3) Minneapolis

City

MN/USA

State/Country

55449

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

05/02/2002 DBYRNE 00000201 6309690

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**Correspondent Name and Address**

Area Code and Telephone Number **612-915-9633**

Name **Beck & Tysver, P.L.L.C.**

Address (line 1) **2900 Thomas Avenue South**

Address (line 2) **Suite 100**

Address (line 3) **Minneapolis, MN 55416-4477**

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

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**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)**

**Patent Number(s)**


<b>6,309,690</b>		

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number

only if a U.S. Application Number has not been assigned.

PCT		PCT		PCT	
PCT		PCT		PCT	

**Number of Properties**

Enter the total number of properties involved.

#

**1**

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$

**40**

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# **500-246**

Authorization to charge additional fees:

Yes

☒

No

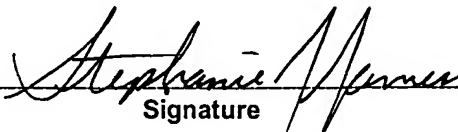
☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Stephanie J. James

Name of Person Signing



Signature

**4/15/02**

Date

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**ASSIGNMENT OF APPLICATION**Docket Number (Optional)  
1706

Whereas, I, Brian Brogger of 1901 - 111th Ave. NE, Andover, MN 55304, hereafter referred to as applicant, have invented certain new and useful improvements in System for Retrospective Identification and Method of Marking Articles for Retrospective Identification

☒ for which an application for a United States Patent was filed on April 1, 1999,  
Application Number 09 / 283,174.

☐ for which an application for a United States Patent was executed on \_\_\_\_\_, and

Whereas, Microtrace, Inc. of Minneapolis, MN herein referred to "assignee" whose mailing address is 3100 - 84th Lane NE, Minneapolis, MN 55449-7216 is desirous of acquiring the entire right, title and interest in the same;

Now, therefore, in consideration of the sum of one dollars (\$1), the receipt whereof is acknowledged, and other good and valuable consideration, I, the applicant, by these presents do sell, assign and transfer unto said assignee the full and exclusive right to the said invention in the United States and the entire right, title and interest in and to any and all Patents which may be granted therefor in the United States, I hereby authorize and request the Commissioner of Patents and Trademarks to issue said United States Patent to said assignee, of the entire right, title, and interest in and to the same, for his sole use and behoof; and for the use and behoof of his legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by me had this assignment and sale not been made.

Executed this 26<sup>th</sup> day of March, 2002,  
at 3100 84<sup>th</sup> lane NE - Suite A, Minneapolis, MN 55449.

State of \_\_\_\_\_) SS:  
County of \_\_\_\_\_)

Before me personally appeared said Brian Brogger  
and acknowledged the foregoing instrument to be his free act and deed this \_\_\_\_\_  
day of \_\_\_\_\_, 20\_\_\_\_.

[Signature]  
(Signature)

Seal

(Notary Public)

ASSIGNMENT

ASSIGNOR: MICROTRACE, INC.  
 3100 84<sup>th</sup> Lane NE, Suite A  
 Minneapolis, MN 55449

a corporation organized and existing under the laws of the State of Minnesota, United States of America, does hereby claim and declare that it is the owner of all right, title and interest in and to the following United States Patent Application(s) or Letters Patent(s) and other rights and power obtainable or exercisable in respect thereof as numbered in United States Patent Application(s) and/or United States Letters Patent(s):

Patent Application

10/023,472

Filing Date

December 17, 2001

MICROTRACE, INC. does hereby, for good and valuable consideration, receipt of which is hereby acknowledged, assign and transfer unto:

ASSIGNEE: MICROTRACE, LLC  
 3100 84<sup>th</sup> Lane NE, Suite A  
 Minneapolis, MN 55449

a corporation organized and existing under the laws of the State of Minnesota, United States of America, its entire right, title, and interest in and to the said Patent Application(s) or Letters Patent(s) including any division, continuation (in whole or in part), continued prosecution application, reissue, reexamination or extension thereof, and consent to the recordal of this Assignment.

## ASSIGNOR

Subscribed and Sworn:

at Ramsey County, Minnesotathis 25<sup>th</sup> day of October, 2004.

## MICROTRACE, INC.

By: William J. Kerns

William J. Kerns  
 President

Subscribed and sworn to before me this  
25<sup>th</sup> day of October, 2004.

Notary Public

#14866



## ASSIGNEE

Accepted:

at Ramsey County, Minnesotathis 25<sup>th</sup> day of October, 2004

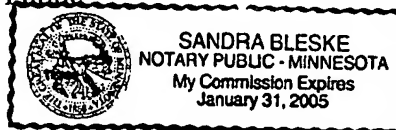
## MICROTRACE, LLC.

By: William J. Kerns

William J. Kerns  
 President

Subscribed and sworn to before me this  
25<sup>th</sup> day of October, 2004.

Notary Public



**ASSIGNMENT**

WHEREAS, I, Justin L. Esterberg, jointly with William J. Kerns and Brian Brogger, have invented certain new and useful improvements in IDENTIFICATION PARTICLES AND SYSTEM AND METHOD FOR RETROSPECTIVE IDENTIFICATION USING SPECTRAL CODES, in which an application was made for Letters Patent of the United States which may be identified in the United States Patent Office by Serial No. 10/023,472, filed December 17, 2001.

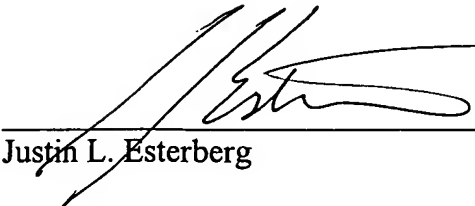
WHEREAS, MICROTRACE, LLC, having an address of 3100 84<sup>th</sup> Lane NE, Suite A, Minneapolis, MN 55449, is desirous of acquiring the entire right, title and interest in and to said invention, said application and in, to and under any and all Letters Patent to be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, I have sold, assigned and transferred, and by these presents do hereby sell, assign and transfer unto the said MICROTRACE, LLC, its successors and assigns, the entire right, title and interest in and to said invention, said application and the Letters Patent, both foreign and domestic, that may or shall issue, including all rights under the International Convention, and I do hereby authorize and request the Commissioner for Patents to issue said Letters Patent to the above-mentioned assignee in accordance herewith.

I further authorize said assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent, in its own name, if desired, in any and all foreign countries, and additionally to claim the filing date of said United States application and/or otherwise take advantage of the provisions of the International Convention.

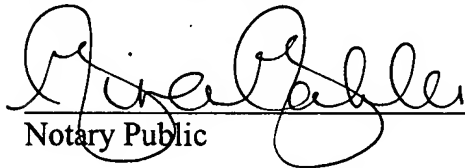
I do hereby covenant and agree with the said assignee, its successors and assigns, that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I or my executors or administrators will, at any time upon request, without further or additional consideration, but at the expense of said assignee, its successors and assigns, execute such additional writings and do such additional acts as said assignee, its successors and assigns, may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued or extended Letters Patent of the United States, or of any and all foreign countries on said invention, and in enforcing any rights, occurring as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents.

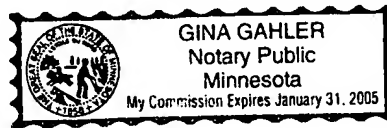
In Witness Whereof, we have hereunto set our hands and affixed our seals on the date(s) written below.

  
Justin L. Esterberg  
Date 10/27/04

STATE OF WA )  
COUNTY OF King ) SS.

On this 27th day of October, 2004, before me personally appeared Justin L. Esterberg, to me known to be the person described in and who executed the foregoing instrument and acknowledged that he executed the same as his free act and deed.

  
Notary Public



# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 80.00

## Complete if Known

Application Number	6,309,690
Filing Date	October 30, 2001
First Named Inventor	Broqner et al.
Examiner Name	Crockford, K.
Group Art Unit	1762
Attorney Docket No.	1706

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 500-246  
Deposit Account Name: Beck & Tysver

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

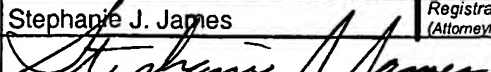
Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	80.00
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 80.00

## SUBMITTED BY

Name (Print/Type)	Stephanie J. James	Registration No. (Attorney/Agent)	34,437	Telephone	612-915-9636
Signature		Date	4/15/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.